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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/688,687	10/17/2000	Amir Herzberg	HERZBERG=3	2064

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BROWDY AND NEIMARK, P.L.L.C.
624 Ninth Street, N.W.
Washington, DC 20001

EXAMINER

HA, LEYNNA A

ART UNIT	PAPER NUMBER
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2135

DATE MAILED: 01/27/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/688,687	Applicant(s) HERZBERG ET AL.	
	Examiner LEYNNA T. HA	Art Unit 2135	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 October 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11, 25, 27-36, 40 is/are pending in the application. *cancelled*
- 4a) Of the above claim(s) 12-24, 26, and 37-39 is/are ~~withdrawn from consideration~~.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-11, 25, 27-36 and 40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-11, 25, 27-36 have been re-examined in regards to the amended claims.

Claims 1-11, 25, 27-36 remains rejected under 35 U.S.C. 102(e).

Claim 40 is new and is also rejected.

2. This is a FINAL rejection.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-11, 25, 27-36, and 40 are rejected under 35 U.S.C. 103(A) as being unpatentable over Arent (US 6,018,724).

As per claim 1:

Arent discloses a method for trusting sites in a communication network, comprising:

a) providing a policy being responsive to input credentials [s COL.3, lins 7-40] for verifying at least two declarations in respect of a site [see COL.4,

lines 6-13]; at least two of said declarations is associated with at least two respective symbols; **[see COL.19, lines 52-58]**

- b) a user accessing a site through a communication network; **[see COL.2, lines 50-52]**
- c) providing through said communication network at least one credential indicative of at least two declarations about the site; **[see Figures 4 and 8]**
- d) authenticating the site **[see COL.3, lines 29-42]** and testing said at least one credential against the policy **[see COL.3, lines 55-63 and COL.5, line 63 thru COL.6, line 6]** in order to verify said at least two declarations **[see COL.6, lines 20-63]** and displaying in respect of each verified declaration the corresponding at least two respective symbols. **[see col.12, lines 20-55 and col.18, lines 10-25]**

As per claim 2:

Arent discloses a method for trusting sites in a communication network, comprising:

- i) mapping a subject in said at least one credential that corresponds to said site to roles according to said role policy; **[see COL.11, lines 11-22 and COL.19, lines 52-58]**
- ii) in the case that said subject is mapped to role that corresponds to said declaration the latter declarations are verified **[s COL.5, line 63 thru**

COL.6, line 6] and said symbols are displayed. **[see COL.19, lines 52-58 and COL.22, lines 60-65]**

As per claim 3: see COL.19, lines 52-58; discussing each declaration corresponds to a respective symbol.

As per claim 4: see COL.2, lines 50-51; discussing the communication network includes the Internet.

As per claim 5: see COL.4, lines 42-60 and COL.5, lines 36-43; discussing at least one of said symbol is proxy or user configured.

As per claim 6: see COL.3, lines 55-63; discusses the authenticating step includes applying an SSL authenticating protocol.

As per claim 7: see COL.3, lines 15-27; discussing at least two symbols are displayed in a trusted pane.

As per claim 8: see COL.19, lines 52-58 and Figures 4 & 8; discusses displaying in a two pane mode at least one original site page in a first pane from among said two panes and said at least two symbols in a trusted pane from among said trusted pane.

As per claim 9: see COL.4, lines 10-15 and COL.19, lines 52-58; discussing a declaration that corresponds to one of said symbols. **[symbols such as Seal of Trust, Code of Trust represents the particular site is authentic and trusted, and VISA card graphic represents the secure payment method accepted by that particular site. Arent discusses certifying and displaying the site, payment**

instruments, transfer operations, and security protocol. Arent discusses symbols and their declarations that can be displayed on a site such as the Disney site is authentic and trusted and displays a VISA logo representing the types of payment option accepted for the Disney site (col.19, lines 52-58). Hence, a symbol declaring the site is violence free is inherently a security protocol which is a measure to supervise and filter the sites having certain contents for children or for employees.]

As per claim 10: see COL.4, lines 10-15 and COL.19, lines 52-58; discussing a declaration that corresponds to one of said symbols. [symbols such as Seal of Trust, Code of Trust represents the particular site is authentic and trusted, and VISA card graphic represents the secure payment method accepted by that particular site. Arent discusses certifying and displaying the site, payment instruments, transfer operations, and security protocol. Arent discusses symbols and their declarations that can be displayed on a site such as the Disney site is authentic and trusted and displays a VISA logo representing the types of payment option accepted for the Disney site. Hence, a symbol declaring the site is violence free is inherently a security protocol which is a measure to supervise and filter the sites having certain contents for children or for employees.]

As per claim 11: see COL.3, lines 37-39; discussing the credential being a certificate or certificate chain.

As p r claim 25:

Arent discloses a system for trusting sites in a communication network, the communication network including a plurality of user nodes inter-linked through at least one proxy node to at least one site server, the system comprising:

the proxy is associated with a policy being responsive to input certificates [see COL.3, lines 7-40] for verifying at least two declarations in respect of a site [see COL.4, lines 6-13]; each of said at least one declaration is associated with at least two respective symbol; [see COL.19, lines 52-58]

a user accessing from a user node, through a proxy node to a server site; [COL.2, lines 50-51]

the server site providing to said proxy node, through said communication network, at least one credential indicative of at least two declarations about the site; [see COL.4, lines 14-20]

the proxy node authenticating the site [see COL.3, lines 29-42] and testing said at least one credential against the policy [see COL.3, lines 55-63] in order to verify said at least two declarations [see COL.6, lines 20-63] and displaying in respect of each verified declaration the corresponding at least two respective symbols. [see col.12, lines 20-55 and col.18, lines 10-25]

As p r claim 27: see col.3, lines 27; discussing the user node includes a browser.

As per claim 28: see col.2, lines 50-53; discussing the user node includes a browser.

As per claim 29: see col.3, line 19; discussing the user node being a cellular telephone.

As per claim 30: see col.3, line 19; discussing the user node being a cellular telephone.

As per claim 31: see col.3, line 17; discussing the user node being a Personal Digital Assistance device.

As per claim 32: see col.3, line 17; discussing the user node being a Personal Digital Assistance device.

As per claim 33: see col.4, lines 6-15; discussing the proxy node is configured to collect additional credentials from credential repository.

As per claim 34: see col.3, line 4; discussing the server site.

As per claim 35: see col.3, line 1; discussing the proxy node.

As per claim 36: see col.3, line 1; discussing the user node.

As per claim 40: see col.11, lines 11-51; discussing the policy is advanced policy.

Response to Arguments

4. Applicant's arguments filed October 4, 2004 have been fully considered but they are not persuasive.

The Examiner finds that Arent discusses certifying and displaying the site, payment instruments, transfer operations, and security protocol. It is inherent that what is being displayed is represented by a symbol that represents a declaration and its credentials. Arent describes a situation where a buyer ("Jo") wants to browse The Disney Store and that the Wells Fargo Java Wallet (VISA) is the only approved/accepted secure payment mechanism provided when the buyer decides to make a purchase at the Disney Store (col.19, lines 19-23). Arent discusses at least two symbols and at least two declarations that can be displayed on a site such as the Disney site is authentic and trusted and displays a VISA logo representing the types of payment option accepted (trusted) for the Disney site (col.19, lines 52-58). The Examiner is only required to giveⁱⁿ light of what is claimed and gives the claimed limitations their broadest reasonable interpretation. Therefore, Arent does teach what is claimed for Applicant's amended claim language.

Conclusion

5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LEYNNA T. HA whose telephone number is (571) 272-3851. The examiner can normally be reached on Monday - Thursday (7:00 - 5:00PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on (571) 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

LHa



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